



IPW
37329

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: December 16, 2005 Name: Terry Wand

Signature: Terry Wand

BRINKS
HOFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Greenberg, et al.

In re Appln. of:

Appln. No.: 10/003,011

Filed: November 1, 2001

For: MEDICAL GRASPING DEVICE

Examiner: Pedro Philogene

Art Unit: 3732

Attorney Docket No: 8627-213 (PA-5270-RFB)

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Supplemental Information Disclosure Statement; 4 Sheets of Form PTO-1449 and 5 Required References.
- ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
- ☒ Please charge Deposit Account No. 23-1925 in the amount of \$180.00. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

December 16, 2005

Date

Robert K. Fergan
Robert K. Fergan (Reg. No. 51,674)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: GREENBERG ET AL.
Appln. No.: 10/003,011
Filed: November 1, 2001
For: MEDICAL GRASPING DEVICE
Attorney Docket No: 8627-213

Examiner: Pedro Philogene
Art Unit: 3732

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicants hereby cite the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
2,856,933	01/1958	Hildebrand, et al.
4,046,149	09/1977	Komiya
4,256,113	03/17/1981	Chamness
4,315,509	02/1982	Smit
4,493,319	01/1985	Polk, et al.
4,512,338	04/1985	Balko, et al.
4,590,938	05/1986	Segura, et al.
4,741,335	05/1988	Okada
5,057,114	10/1991	Wittich
5,163,942	11/1992	Rydell
5,290,294	03/1994	Cox, et al.
5,320,627	06/1994	Sorensen, et al.
5,330,484	07/19/1994	Gunther et al.
5,464,408	11/1995	Duc
5,562,678	10/1996	Booker
5,597,378	01/1997	Jervis
5,626,605	05/1997	Irie, et al.
5,906,622	05/1999	Lippitt, et al.
5,944,728	08/1999	Bates
5,947,978	09/1999	Holsinger
6,015,415	01/2000	Avellanet
6,099,534	08/2000	Bates, et al.
6,156,055	12/2000	Ravenscroft
6,162,209	12/2000	Gobron, et al.

6,183,482	02/2001	Bates, et al.
6,187,016	02/2001	Hedges, et al.
6,241,738	06/2001	Dereume
6,245,087	06/2001	Addis
6,280,451	08/2001	Bates, et al.
6,280,464	08/2001	Hayashi
2001/0021842	09/2001	Ouchi
2001/0031970	10/2001	Heuser, et al.
6,325,807	12/2001	Que
6,342,062	01/2002	Suon, et al.
2002/0022837	02/2002	Mazzocchi, et al.
2002/0026202	02/2002	Honey, et al.
2002/0026203	02/2002	Bates, et al.
6,352,539	03/2002	Avellanet
2002/0068954	06/2002	Foster
6,416,519	07/2002	VanDusseldorp
2002/0087186	07/2002	Shelso
2002/0095174	07/2002	Tsugita, et al.
2002/0120277	08/2002	Hauschild, et al.
2002/0133168	09/2002	Smedley, et al.
6,447,530	09/2002	Ostrovsky, et al.
6,458,145	10/2002	Ravenscrof, et al.
6,491,698	12/2002	Bates, et al.
2002/9193824	12/2002	Boylan, et al.
6,494,895	12/2002	Addis
6,500,182	12/2002	Foster
6,517,550	02/2003	Konya, et al.
6,520,968	02/2003	Bates, et al.
6,554,842	04/2003	Heuser, et al.
6,558,404	05/2003	Tsukernik
6,569,181	05/2003	Burns
2003/0109874	06/2003	Dennis
2003/0109889	06/2003	Mercereau, et al.
2003/0125793	07/2003	Vessely
2003/0135222	07/2003	Baska
2003/0158518	08/2003	Schonholz, et al.
0033909	06/2000	WIPO
724776	01/1932	France
2,540,818	1976	Germany
3,029,064	02/1982	Germany
3,084,849	09/1988	Germany

Applicant is enclosing Form PTO-1449 (four sheets), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). The non-English reference included shows a grasping device with a wire loop for medical applications.

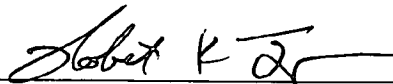
Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

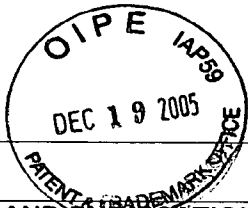
By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated a processing fee in the amount of \$180.00 to be due under 37 C.F.R. §1.17(p) in connection with the filing of this Statement. Applicant has enclosed a check covering this fee, or authorized charging the fee to a deposit account or credit card, as indicated in the Transmittal accompanying this Statement.

Respectfully submitted,

December 16, 2005
Date


Robert K. Fergan (Reg. No. 51,674)



FORM PTO-1449	APPLICATION NO. 10/003,011	CASE NO. 8627-213
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE November 1, 2001	ART UNIT 3732
	APPLICANT(S): GREENBERG, et al.	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
/P.P./	2,856,933	01/1958	Hildebrand, et al.		
	4,046,149	09/1977	Komiya		
	4,256,113	03/17/1981	Chamness		
	4,315,509	02/1982	Smit		
	4,493,319	01/1985	Polk, et al.		
	4,512,338	04/1985	Balko, et al.		
	4,590,938	05/1986	Segura, et al.		
	4,741,335	05/1988	Okada		
	5,057,114	10/1991	Wittich		
	5,163,942	11/1992	Rydell		
	5,290,294	03/1994	Cox, et al.		
	5,320,627	06/1994	Sorensen, et al.		
	5,330,484	07/19/1994	Gunther et al.		
	5,464,408	11/1995	Duc		
	5,562,678	10/1996	Booker		
	5,597,378	01/1997	Jervis		
	5,626,605	05/1997	Irie, et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
/P.P./	0033909	06/2000	WIPO		
	724776	01/1932	France		
	2,540,818	1976	Germany		
	3,029,064	02/1982	Germany		
	3,804,849	09/1988	Germany		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER /Pedro Philogene/	DATE CONSIDERED 04/10/2010
----------------------------	----------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	APPLICATION NO. 10/003,011	CASE NO. 8627-213
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE November 1, 2001	ART UNIT 3732
APPLICANT(S): GREENBERG, et al.		

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
/P.P./					
	5,906,622	05/1999	Lippitt, et al.		
	5,944,728	08/1999	Bates		
	5,947,978	09/1999	Holsinger		
	6,015,415	01/2000	Avellanet		
	6,099,534	08/2000	Bates, et al.		
	6,156,055	12/2000	Ravenscroft		
	6,162,209	12/2000	Gobron, et al.		
	6,183,482	02/2001	Bates, et al.		
	6,187,016	02/2001	Hedges, et al.		
	6,241,738	06/2001	Dereume		
	6,245,087	06/2001	Addis		
	6,280,451	08/2001	Bates, et al.		
	6,280,464	08/2001	Hayashi		
	2001/0021842	09/2001	Ouchi		
	2001/0031970	10/2001	Heuser, et al.		
	6,325,807	12/2001	Que		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER /Pedro Philogene/	DATE CONSIDERED 04/10/2010
-------------------------------	-------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	APPLICATION NO. 10/003,011	CASE NO. 8627-213
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE November 1, 2001	ART UNIT 3732
	APPLICANT(S): GREENBERG, et al.	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
/P.P./	6,342,062	01/2002	Suon, et al.		
	2002/0022837	02/2002	Mazzocchi, et al.		
	2002/0026202	02/2002	Honey, et al.		
	2002/0026203	02/2002	Bates, et al.		
	6,352,539	03/2002	Avellanet		
	2002/0068954	06/2002	Foster		
	6,416,519	07/2002	VanDusseldorp		
	2002/0087186	07/2002	Shelso		
	2002/0095174	07/2002	Tsugita, et al.		
	2002/0120277	08/2002	Hauschild, et al.		
	2002/0133168	09/2002	Smedley, et al.		
	6,447,530	09/2002	Ostrovsky, et al.		
	6,458,145	10/2002	Ravenscrof, et al.		
	6,491,698	12/2002	Bates, et al.		
	2002/9193824	12/2002	Boylan, et al.		
	6,494,895	12/2002	Addis		
	6,500,182	12/2002	Foster		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER /Pedro Philogene/	DATE CONSIDERED 04/10/2010
----------------------------	----------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	APPLICATION NO. 10/003,011	CASE NO. 8627-213
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE November 1, 2001	ART UNIT 3732
	APPLICANT(S): GREENBERG, et al.	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
/P.P./	6,517,550	02/2003	Konya, et al.		
	6,520,968	02/2003	Bates, et al.		
	6,554,842	04/2003	Heuser, et al.		
	6,558,404	05/2003	Tsukernik		
	6,569,181	05/2003	Burns		
	2003/0109874	06/2003	Dennis		
	2003/0109889	06/2003	Mercereau, et al.		
	2003/0125793	07/2003	Vessely		
	2003/0135222	07/2003	Baska		
	2003/0158518	08/2003	Schonholz, et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER /Pedro Philogene/	DATE CONSIDERED 04/10/2010
----------------------------	----------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.